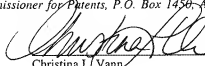


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 29, 2003.*



Christina L. Vann

Applicant : Seth Weisberg, et al.  
Application No. : 09/788,069  
Filed : February 16, 2001  
Title : ON-LINE VALUE-BEARING INDICIUM PRINTING USING DSA  
  
Grp./Div. : 3621  
Examiner : Firmin Backer  
  
Docket No. : 41232/RRT/S850

INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
October 29, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

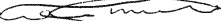
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to

**Application No. 09/788,069**

Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/clv

Enclosures: Check \$180  
Copy of IDS  
Form PTO/SB/08A/B, w/references  
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# INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number

41232/RRT/S850

Application Number

09/788,069

Filing Date

February 16, 2001

Applicant(s)

Seth Weisberg, et al.

Group Art Unit

3621

Examiner Name

Firmin Backer

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE |
|-------------------|-----------------------|---|--------------------------------|------------------|
|                   |                       | 4,837,702   | 06-06-1989                     | OBREA            |
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## FOREIGN PATENT DOCUMENTS

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|-------------------|-----------------------|---|--------------------------------|--|-----------------------|
|                   |                       |   |                                |  |                       |

## OTHER DOCUMENTS

| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-------------------|-----------------------|--|
|                   |                       | U.S. Application Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125 pp.   |
|                   |                       | U.S. Application Serial No. 09/688,451 filed October 16, 2000, "Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.   |
|                   |                       | U.S. Application Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.   |
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| EXAMINER SIGNATURE  | DATE CONSIDERED |
| <p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English Language Translation is attached.</p> |                 |

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

|                        |                       |
|------------------------|-----------------------|
| Attorney Docket Number | 41232/RRT/S850        |
| Application Number     | 09/788,069            |
| Filing Date            | February 16, 2001     |
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| Group Art Unit         | 3621                  |
| Examiner Name          | Firmin Backer         |

**OTHER DOCUMENTS**

| EXAMINER<br>INITIALS | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|                      |                          | U.S. Application Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.   |
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|-----------------------|--|--------------------|--|
| EXAMINER<br>SIGNATURE |  | DATE<br>CONSIDERED |  |
|-----------------------|--|--------------------|--|

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